

WYPF Breaches of Law 2020 - 2021

Date	Category (eg administration, contributions, funding , investments)	Pensions Regulator code of practice	Description and cause of breach	Possible effect of breach and wider implications	Reaction of relevant parties to breach	Reported/Not reported	Outcome of report and or investigations	Outstanding Actions
April 2020	<u>Administration</u> Maintaining contributions	147	Employee's pension contributions must be paid to the manager of the scheme by the 19th day of the month following deduction or by 22nd day if paid electronically. Please see schedule below for details of employers who failed to make payment by the appropriate date.	Contributions not received by the scheme within the prescribed timescales	Immediate action: All employers have a designated business partner who contact each employer to make them aware of any late payment. Subsequent late payments incur an admin fee and are notified that further late payments may be reported to the Pensions Regulator. Continuing Action: Employers are closely monitored. Records of each employer who fail to make payment each month are maintained along with details of the number of late payment occasions.	Not reported	All outstanding payments are chased up and all payments received	None
Sept 2020	<u>Administration</u> Issue of Annual Benefit Statements (ABS)	189	Scheme regulations require an ABS be provided to each active member by the 31 August each year At the 31 August 20 99.74% of statements had been sent out (95137/95382)	0.26% of active members will not have received their ABS within prescribed time limits	Only accurate ABS are sent out. Work is continuing to release ABS to be sent out as soon as the "block" has been cleared.	Not reported	Due to the improvement in the performance and the relative low numbers this breach is not regarded as of material significance	Outstanding ABS continue to be issues as soon as the ABS block has been resolved

WYPF Breaches of the Law 2020 -2021

March 2021	Administration Divorce Implementation order not implemented within 4 months (Welfare and Pensions Act 1999 - Paragraph 34)	N/A	Pension sharing order was not implemented within 4 months. The order should have been implemented by 10/12/2020 but it wasn't completed until 18/03/2021. The delay was as a result of the employer not supplying correct pay details for the calculation until 10/03/2021.	N/a	Member was aware of the delay but was insistent that we had to use the correct pay details instead of an assumed /estimated amount.	Not reported	Information supplied as soon as information from employer was available	None
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Employers who failed to pay make pay over employees contributions by the appropriate date - April 2020 onwards

Month	Employer	Date contributions due	Date paid	Value of late contributions	No of times late in last 6 months prior to this month	No of times late in last 12 months prior to this month
April 20	St Anne's Community Services	19/05/20	10/06/20	196,707.53	0	0
	Feversham Primary Academy	19/05/20	02/06/20	5,370.79	0	0
	APCOA	19/05/20	03/06/20	969.76	0	0

May 20	Ilkley Grammar school	19/06/20	23/06/20	34,062.36	0	0
	Dixons Central Services	19/06/20	26/06/20	28,180.25	0	0
	Dixons Allerton Academy	19/06/20	26/06/20	20,798.08	0	0
	Dixons City Academy	19/06/20	26/06/20	19,863.25	0	0
	Dixons Kings Academy	19/06/20	26/06/20	9,851.26	0	0
	Dixons McMillan Primary	19/06/20	26/06/20	8,731.34	0	0

WYPF Breaches of the Law 2020 -2021

	Dixons Manningham Primary	19/06/20	26/06/20	5,703.28	0	0
	Dixons Marchbank Academy	19/06/20	26/06/20	8,791.34	0	0
	Dixons Chapletown Primary	19/06/20	26/06/20	5,438.27	0	0
	Dixons Music Primary	19/06/20	26/06/20	4,415.10	0	0
	Dixons Trinity Academy	19/06/20	26/06/20	9,766.53	0	0
	Dixons Unity	19/06/20	26/06/20	5,438.27	0	0
	Dixons Cottingley	19/06/20	26/06/20	11,100.93	0	0

June 20	South Hiendley Parish Council	19/07/20	29/07/20	132.55	0	0
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July 20	Community Accord	19/08/20	26/08/20	371.58	0	1
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Aug. 20	South Hiendley Parish Council	19/09/20	02/10//20	138.60	1	1
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Sept 20	Bradford College	19/10/20	20/11//20	133,431.39	0	0
	Leeds College of Music	19/10/20	20/11/20	91,165.41	0	0
	South Hiendley Parish Council	19/10/20	23/10/20	154.41	2	2
	Leeds City College	19/10/20	19/11/20	313,744.43	0	0
	Crescent Purchasing	19/10/20	26/10/20	12,425.30	0	0
	Consultant Cleaners Ltd (Wesborough High School)	19/10/20	26/10/20	388.96	1	2

October 20	South Hiendley Parish Council	19/11/20	24/11/20	138.19	3	3
	Rhyhill Parish Council	19/11/20	24/11/20	119.58	0	0
	Horsforth Town Council	19/11/20	08/12/20	650.54	0	0

Nov 20	No employers paid the contributions late	19/12/20				
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WYPF Breaches of the Law 2020 -2021

Dec 21	BID Services	19/01/21	29/01/21	448.29	0	0
	Ripon Diocesan C of E Council	19/01/21	09/02/21	7111.67	0	0
	Ripon House	19/01/21	09/02/21	5871.34	0	0

Jan 21	Leeds Housing Concern	19/02/21	26/02/21	835.02	0	0
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Feb 21	TNS Catering (SPTA)	19/03/21	06/04/2021	482.99	0	0
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March 21	Carroll Cleaning Co Ltd (Whetley)	19/04/21	06/05/2021	579.44	0	0
	Carroll Cleaning Co Ltd ((Wakefield)	19/04/21	06/05/2021	32.55	0	0
	Carroll Cleaning Co Ltd (Holy Trinity)	19/04/21	06/05/2021	206.78	0	0
	Carroll Cleaning Co Ltd (Birkenshaw Primary School)	19/04/21	06/05/2021	184.48	0	0
	Carroll Cleaning Co Ltd. (Frizinghall)	19/04/21	06/05/2021	103.74	0	0
	Carroll Cleaning Co Ltd (Lapage Primary School)S	19/04/21	06/05/2021	359.97	0	0
	Carroll Cleaning Co Ltd (Nessfield Primary School)	19/04/21	06/05/2021	162.92	0	0
	Carroll Cleaning Co Ltd (Peel Park)	19/04/21	06/05/2021	361.19	0	0
	Carroll Cleaning Co Ltd (St Johns)	19/04/21	06/05/2021	115.51	0	0
	Carroll Cleaning Co Ltd (Southmere)	19/04/21	06/05/2021	240.72	0	0
	The Bishop Konstant Catholic Trust	19/04/21	23/04/21	90773.14	0	0
	Liberty Gas West	19/04/21	27/04/21	829.20	0	0
	Liberty Gas Outer West	19/04/21	27/04/21	618.46	0	0