

2021/22 Planned Budget (Dedicated Schools Grant (DSG) Income and Expenditure Summary)					
	Schools Block	High Needs Block	Early Years Block	Central Schools Services Block	Total DSG
A) ESTIMATED 2021/22 DSG ALLOCATION (before academy recoupment and other ESFA direct funding reductions)	470,037,939	92,435,794	43,514,245	3,420,029	609,408,006
% of total DSG by Block	77.1%	15.2%	7.1%	0.6%	
B) 2020/21 DSG ALLOCATION at December 2020 (please note that final 2020/21 Early Years Block allocation will be confirmed in July 2021)	437,302,756	81,246,677	42,964,811	2,912,529	564,426,773
C) DIFFERENCE IN DSG ALLOCATION A - B (positive = income increase)	32,735,183	11,189,117	549,434	507,500	44,981,233
D) TOTAL ESTIMATED PLANNED EXPENDITURE 2021/22 SCHOOLS BUDGET	470,001,398	92,912,368	44,129,602	3,178,129	610,221,497
E) VALUE OF SPENDING SAFETY NET PROVISION WITHIN PLANNED EXPENDITURE ROW D	0	0	0	0	0
F) VALUE OF 2021/22 SCHOOLS BUDGET (ROW D) PROPOSED TO WRITE OFF DEFICIT BALANCE BROUGHT FORWARD FROM 2020/21	0	0	0	0	0
G) TOTAL UNDERSPEND / OVERSPEND ON 2021/22 DSG ALLOCATION A - D (negative = overspend)	36,541	-476,574	-615,358	241,900	-813,491
H) PROPOSED TRANSFER OF DSG INCOME BETWEEN BLOCKS 2021/22 (Teacher Pay and Pensions Grant income between HNB and CSSB)	0	241,900	0	-241,900	0
I) PROPOSED ALLOCATION OF BALANCE BROUGHT FORWARD FROM 2020/21 TO SUPPORT SPECIFIC AGREED ITEMS INCLUDED IN ROW D	430,000	0	615,358	0	1,045,358
J) BALANCE BROUGHT FORWARD FROM 2021 TO SUPPORT BLOCK OVERSPEND IN 2021/22 (POSITIVE) OR UNDER SPEND IN 21/22 TO RETAIN (NEGATIVE)	-466,541	234,674	0	0	-231,867
K) ADDITIONAL NON-DSG FUNDS ALLOCATED TO SUPPORT DSG SPENDING ACTIVITY	0	0	0	0	0
L) ESTIMATED FINAL OVER OR UNDER SPEND POSITION BY BLOCK IN 2021/22 G + H + I + J + K (negative = overspend)	0	0	0	0	0
M) ESTIMATED BLOCK BALANCE BROUGHT FORWARD FROM 2020/21 (positive = surplus)	6,550,058	15,448,898	3,272,513	0	25,271,469
N) ESTIMATED BLOCK BALANCE TO BE CARRIED FORWARD INTO 2022/23 AFTER ROWS I + J (positive = surplus)	6,586,599	15,214,224	2,657,155	0	24,457,978
O) ESTIMATED SCHOOLS BLOCK DE-DELEGATED / EARLY YEARS FUNDS BALANCE BROUGHT FORWARD FROM 20/21 WITHIN ROW M (positive = surplus)	1,090,785		71,709		1,162,494
P) ESTIMATED SCHOOLS BLOCK GROWTH FUND BALANCE BROUGHT FORWARD FROM 20/21 WITHIN ROW M (positive = surplus)	1,385,575				1,385,575
Q) ESTIMATED SCHOOLS BLOCK FALLING ROLLS FUND BALANCE BROUGHT FORWARD FROM 20/21 WITHIN ROW M (positive = surplus)	500,000				500,000
R) ESTIMATED EARLY YEARS BLOCK DAF BALANCE BROUGHT FORWARD FROM 20/21 WITHIN ROW M (positive = surplus)			459,675		459,675

Further Explanation of the 2021/22 DSG Forecasted Position

	Schools Block	High Needs Block	Early Years Block	Central Schools Services Block	Total DSG
S) Change in Estimated DSG Income vs. 2020/21 (ROW C) (negative = reduction)					
Additional Schools, High Needs & Central Schools Services Block funding from the net increase in pupil numbers October 2020 vs. October 2019 & in Special Schools Transferred funding into the Schools, Central Schools Services and High Needs Blocks from the mainstreaming of the Teacher Pay Grant & Teacher Pensions Grant	2,017,705	694,489		5,752	2,717,946
Additional Schools & Central Schools Services Block funding from NFF-led increases in per pupil monies (Primary +2.8%; Secondary +3.4%; CSSB +6.5%)	18,954,626	1,241,111		406,000	20,601,737
Reduction in the Central Schools Services Block from the continued NFF-led reduction in historic commitments funding (reduced from 80% to 60%)	12,737,122			166,104	12,903,226
Additional RPIX-based allocation for the BSF (PFI) factor within the Schools Block				-70,356	-70,356
Change in Schools Block funding for premises related non-NFF factors (Business Rates and Split Sites)	157,997				157,997
Change in Schools Block funding to support the cost of in year pupil growth based on October 2020 Census vs. October 2019 Census using the DfE's formula	-178,261				-178,261
Additional High Needs Block funding as a result of the DfE's National Funding Formula (a capped +12% per pupil increase)	-954,007	9,236,054			-954,007
Estimated change in High Needs Block funding as a result of the inter authority Import / Export adjustment (to be confirmed summer term 2021)		-126,000			-126,000
Change in High Needs Block funding related to Education in Hospital / Medical Needs provision (8% increase on the 2020/21 discrete allocation)		143,462			143,462
Additional Early Years Block monies allocated within the 2021/22 settlement (NFF-led) net of hours delivery change - 3&4 Year Olds (+1.30%; +£0.06 per hour)			437,529		437,529
Additional Early Years Block monies allocated within the 2021/22 settlement (NFF-led) net of hours delivery change - 2 Year Olds (+1.52%; +£0.08 per hour)			111,904		111,904
Total of Change in 2021/22 DSG Income vs. 2020/21	32,735,183	11,189,117	549,434	507,500	44,981,233

	Schools Block	High Needs Block	Early Years Block	Central Schools Services Block	Total DSG
T) Highlight of Specific Expenditure Items within 2021/22 Planned Budget shown in ROW D					
New value of De-Delegated funds to be 'top-sliced' from maintained primary & secondary school budgets in 2021/22 within the Schools Block	771,816				771,816
New value of Growth Fund taken from the 2021/22 Schools Block allocation for primary & secondary schools and academies (after recoupment adjustment)	1,359,294				1,359,294
New value of Falling Rolls Fund taken from the 2021/22 Schools Block allocation for primary schools and academies (no new budget is taken)	0				0
Cost of (value of) Minimum Funding Guarantee protection for individual primary & secondary schools and academies within the Schools Block (MFG set at +2.0%)	5,315,273				5,315,273
Cost of (value of) Minimum Levels of Per Pupil Funding for primary & secondary schools and academies within the Schools Block (£4,180 primary; £5,415 secondary)	4,308,746				4,308,746
Cost of (value of) DSG Affordability Gap charge for BSF (PFI) within the Schools & High Needs Blocks	6,612,385	799,800			7,412,185
New value of the Early Years SEND Inclusion Fund funded from the 2021/22 Early Years Block allocation (£0.40m 3&4 year olds; £0.10m 2 year olds)			500,000		500,000
New value of centrally retained budgets funded from the 2021/22 Early Years Block allocation (excluding the contribution to EY SEND services - see below)			125,686		125,686
Estimate Cost of (value of) protection of Maintained Nursery Schools within the Early Years Block (assuming full year arrangements TBC)			1,291,263		1,291,263
New value of budget for SEND centrally managed teaching support & other services funded from the 2021/22 High Needs Block and Early Years Block		4,830,001	0		4,830,001
Cost of (value of) new allocations in respect of the former Teacher Pay and Pensions Grants transferred into the High Needs Block for special schools and AP providers		1,421,673			1,421,673
Budget provision for additional SEND places to be created funded from the 2021/22 High Needs Block allocation (120 places full year budget provision)		3,000,000			3,000,000

	Schools Block	High Needs Block	Early Years Block	Central Schools Services Block	Total DSG
U) Compliance with Regulatory Restrictions on Block spending and the movement of monies between DSG blocks					
Planned Schools Block expenditure ROW D (excluding the use of brought forward balances ROWS I & J) as a % of DSG allocation 2021/22 ROW A	100.00%				
% spend of the 3&4 year old EYSFF in the Early Years Block on supplements (deprivation). Excluding the use of balances and MNS protection. Must be <=10%			8.00%		
% pass through rate to providers of 3&4 year old funding in the Early Years Block (excluding use of brought forward balance). Must be >=95%			98.0%		

	Schools Block	High Needs Block	Early Years Block	Central Schools Services Block	Total DSG
V) Planned DSG expenditure 2021/22 ROW D includes the following spending changes against the 2020/21 Planned Budget					
High Needs, Early Years and Central Schools Services Blocks - Adjustment in the cost of the DfE Copyright Licences charge (-1.3% reduction)		25,622	-5,577	-25,797	-5,752
CSSB - Adjustment to CSSB budgets for pay and inflation funded from the CSSB headroom				201,645	201,645
CSSB - Allocation of the transferred Teacher Pension Grant monies to respective CSSB funded services for centrally employed teachers				164,100	164,100
SB - Primary & Secondary formula funding increased cost (formula as proposed using Oct 2020 Census data) including de-delegated funds	14,584,187				14,584,187
SB - Allocation of transferred Teacher Pay and Teacher Pensions Grant monies to primary & secondary schools and academies via core funding formula	18,954,626				18,954,626
SB - Estimated change in the cost of Business Rates for Primary & Secondary schools and academies vs. 2020/21 initial planned budget	-208,141				-208,141
SB - Change in the cost of the Split Site factor for Primary & Secondary schools and academies (non-NFF)	17,657				17,657
SB - Change in the on-going value of the Growth Fund (including provision for new Growth at September 2021) - Primary Phase	-245,872				-245,872
SB - Change in the on-going value of the Growth Fund (including provision for new Growth at September 2021) - Secondary Phase	161,301				161,301
SB - Change in the cost of 'Implicit Growth' (pupil numbers adjustments for core formula funding for new establishing free schools & academies)	-219,576				-219,576
SB - Change in the on-going value of the primary-phase Falling Rolls Fund funded from the 2021/22 Schools Block allocation (no new budget provision made)	-250,000				-250,000
SB & HNB - Increase in Building Schools for the Future (PFI) DSG Affordability Gap cost, incorporating RPIX increase	17,096	12,368			29,464
EYB - Adjustment to match the change in DSG income received for Early Years Pupil Premium and Disability Access Fund			-46,614		-46,614
EYB - Estimated change in the cost of funding the delivery of the 2 year old entitlement vs. 2020/21 Planned Budget (including change in the no. of delivered hours)			-692,251		-692,251
EYB - Estimated change in the cost of funding the delivery of the universal & extended 3&4 YO entitlements vs. 2020/21 Planned Budget (inc. change in delivered hours)			1,204,698		1,204,698
EYB - Change in the value of budget provision, for nursery schools to access de-delegated funds, funded from the 2021/22 Early Years Block allocation			3,260		3,260
EYB & HNB - Transfer of EY Teaching Support Services (portage / pre-5) and EYIF Mngmt back to HNB for 2021/22 only (includes provision for pay and inflation)	365,000		-365,000		0
EYB - Change in the value of budget provision made from the 2021/22 EYB allocation for the Early Years SEND Inclusion Fund vs. 2020/21 Planned Budget			-650,000		-650,000
HNB - Estimated growth in the Place-Plus formula funding cost of special schools and special school academies (existing places)		1,677,384			1,677,384
HNB - Estimated growth in the Place-Plus formula funding cost of Primary & Secondary School-led resourced provisions (existing places)		769,515			769,515
HNB - Estimated growth in the Place-Plus formula funding cost of Early Years Enhanced Specialist Provisions in Maintained Nursery Schools		276,475			276,475
HNB - Estimated growth in the Place-Plus formula funding cost of Sensory Primary & Secondary LA-led resourced provision; includes TPG & TPENG		190,566			190,566
HNB - Estimated growth in the Place-Plus formula funding cost of Primary & Secondary LA-led resourced provisions; includes TPG & TPENG		224,413			224,413
HNB - Removal of the budget for the Primary Behaviour Centres (HNB funding ceased 31 August 2020)		-214,275			-214,275
HNB - Estimated growth in the Place-Plus formula funding cost of PRUs and placements with Alternative Providers		1,141,489			1,141,489
HNB - Estimated growth in the Place-Plus formula funding cost of Further Education Settings (Post 16 EHCPs)		612,613			612,613
HNB - Estimated growth in the cost of top up for EHCPs in mainstream primary & secondary schools and academies (pre & post 16), Early Years		1,588,896			1,588,896
HNB - Estimated additional cost of the amended SEND Funding Floor including provision for EHCP growth (introduced as a 1 year trial at April 2021)		1,680,300			1,680,300
HNB - New allocation of Teacher Pay and Pensions Grants transferred into the High Needs Block to special schools, school-led RPs and AP providers		1,421,673			1,421,673
HNB - Estimated growth in the cost of places in OLA, NMSS and Independent provisions, including medical OLA provisions vs. 2020/21 planned budget		905,000			905,000
HNB - Estimated change in centrally managed Education in Hospital, Tracks and Medical Home Tuition provisions (post-service re-structure); includes TPG and TPENG		-106,000			-106,000
HNB - Change in the additional earmarked Banded Model Cost Provision (reduction from £2m to £1m)		-1,000,000			-1,000,000
HNB - capacity building - Increased budget provision for additional specialist places (provision for 120 places full year 21/22 vs. 100 places part year 20/21)		1,541,667			1,541,667
HNB - Provision for pay and inflation on SEND Teaching Support Services Budgets plus transferred TPG and TPENG		541,637			541,637
HNB - Net increase in the cost of smaller centrally managed HNB budgets due to increased delivery (Speech & Language Therapy & specialist equipment)		51,000			51,000
Total Value of Change in Planned Spending 2021/22 vs. 2020/21 Planned Budget	32,811,278	11,705,343	-551,485	339,948	44,305,085

W) **Summary of High Needs Block Planned Budget Forecasted Spending 2021/22 by Spending Type**

SEND Specialist Places - Special Schools & Special School Academies	34,785,155
SEND Specialist Places - Early Years Resourced Provisions in Maintained Nursery Schools - School Led	1,315,010
SEND Specialist Places - Primary & Secondary Resourced Provisions - School Led	6,300,499
SEND Specialist Places - Primary & Secondary Resourced Provisions - LA Led - Sensory (including TPG and TPENG)	2,229,661
SEND Specialist Places - Primary & Secondary Resourced Provisions - LA Led (including TPG and TPENG)	2,859,840
Allocation of Teacher Pay and Pensions Grants transferred into the High Needs Block to special schools, school-led resourced provisions and AP providers	1,421,673
AP - Specialist Places - PRUs	3,984,965
Top up for EHCPs in mainstream schools & academies (inc. SEND Funding Floor), EY PVI EHCPs, including estimated growth in spend vs. 20/21	10,354,431
SEND Funding Floor for mainstream primary & secondary schools	2,158,569
Element 2 and Top up for EHCPs in the Further Education sector Post 16	5,328,613
Cost of out of Authority / Independent / NMSS Placements, including estimated growth in spend vs. 2020/21	10,745,000
SEND Teaching Support Services charged to the HNB	4,830,001
Other Small Centrally Managed Budgets (Copyright Licences, Speech & Language Therapy and Specialist Equipment)	458,151
Centrally Managed - Hospital Education (inc. OLA / independent), Tracks and Medical Home Tuition	1,341,000
BSF / PFI DSG HNB Affordability Gap, including increase for RPIX	799,800
SEND Specialist Places - Provision not yet allocated to individual settings for additional places (120 places full year budget provision)	3,000,000
Earmarked budget retained to manage the potential additional impact of the development / embedding of the EHCP Banded Model (initially introduced April 2020)	1,000,000
Total Planned High Needs Block Budget 2021/22	92,912,368

X) **Schools Block 2021/22 - Phase Breakdown**

Primary - value of specific phase-led funding (EGUF of £4,596 per pupil) within the Schools Block	249,061,314
Primary - value of specific phase-led core formula funding spending within the Schools Block, excluding implicit growth	248,684,251
Primary - Difference (positive = higher spend) *	-377,064
Secondary - value of specific phase-led funding (EGUF of £6,042 per pupil) within the Schools Block	207,676,655
Secondary - value of specific phase-led core formula funding spending within the Schools Block, excluding implicit growth	207,913,736
Secondary - Difference (positive = higher spend) *	237,080

* note that these calculations exclude spending from combined-phase budgets (where a single non-phase specific is received within the Schools Block e.g. Growth Fund, Falling Rolls Fund, Business Rates)

Notes to the Table Above (referenced by row A to R)

A) **Estimated DSG 2021/22 allocation**

As announced by the DfE on 17 December 2020. The DSG allocation across the 4 blocks at local authority level now follows a National Funding Formula methodology.

Local authorities are permitted to transfer monies between the Early Years, Central Schools Services and High Needs Blocks provided that, for the Early Years Block, the central spending restriction of 5% is not breached.

Local authorities are permitted to transfer monies into the Schools Block from other blocks. However, the Schools Block itself is 'ring-fenced', except that a maximum of 0.5% of the Schools Block can be transferred to the High Needs Block with the approval of the Schools Forum following consultation with schools. Transfers of a value greater than 0.5% require Secretary of State approval.

The Schools Block and High Needs Block values are shown prior to recoupment for academies. The ESFA funds academies directly and deducts this cost from the DSG paid to the Authority.

The Central Schools Services Block was established at April 2018. A no. of items previously funded in the Schools Block are transferred. This ended Schools Block 'topslicing' for all but de-delegated funds (maintained schools) and Growth / Falling Rolls Funds.

The Central Schools Services Block contains within it a sum of £281,426 relating to historic commitments. This is 60% of the value originally funded and is expected to reduce further in 2022/23.

The Central Schools Services Block income is otherwise calculated on the formula of £35.44 per pupil x October 2020 census numbers (primary & secondary numbers only).

There are elements of High Needs Block income that are still to be confirmed, including the adjustment for imports and exports.

We have received a capped 12% increase in per pupil funding through the High Needs Block National Funding Formula (NFF) in 2021/22.

The High Needs Block includes a specific allocation for Education in Hospital provision of £1.94m. We still await the publication of the DfE's consultation on future funding arrangements in this area.

Within the NFF is an allocation of £4,661 for all places in special schools occupied in October 2020. We have 149 more pupils in our special schools and in independent special schools and have received £695k more funding through this NFF factor.

Early Years Block income is significantly estimated and will be confirmed following the collection of January Censuses.

Our confirmed Early Years Block 3 / 4 year old rate of funding per FTE pupil / per hour received from the DfE in 2021/22 is

£4,456	£4.69	per hour
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This 2021/22 3 & 4 year old funding rate is + £0.06 (+1.3%) per hour compared with 2020/21

Our confirmed Early Years Block 2 year old rate of funding per FTE pupil / per hour received from the DfE in 2021/22 is

£5,092	£5.36	per hour
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This 2021/22 2 year old funding rates is + £0.08 (+1.5%) per hour compared with 2020/21

The estimate of Early Years Block income includes a Maintained Nursery School Supplement at a value of

£1,212,961

Under National Funding Formula, the Schools Block is now funded on phase-specific primary and secondary £apps plus specific additional cash allocations for premises and other factors.

The phase-specific £app allocations for core formula funding are detailed above in section X.

The additional Schools Block cash allocations for 2021/22 are as follows:

Business Rates	3,979,020	allocated on the 2020/21 planned budget
Split Sites	423,539	allocated on the 2020/21 planned budget
PFI (Building Schools for the Future)	6,698,091	allocated on the 2020/21 planned budget + RPIX
In year Growth (explicit and implicit)	2,199,319	allocated via the DfE National Funding Formula
Total Schools Block Additional Cash Allocations	13,299,970	

B) **2020/21 DSG allocation**

The 2020/21 DSG figures for the Schools, High Needs and Central Schools Services Blocks match the allocations published by the DfE, updated in December 2020.

The 2020/21 Early Years Block figure is still estimated. The final allocation will be confirmed in July 2021.

C) **Difference in DSG allocation**

This row shows by how much the 2021/22 DSG allocation is estimated to increase or reduce by vs. the December 2020 DSG 2020/21 figures. A breakdown of the reasons for growth or reduction is given in Section S.

D) **Total estimated planned expenditure 2021/22 Schools Budget**

This row shows the total planned expenditure by block, which incorporates all items and adjustments listed under Section V of this report.

Any changes to these items and adjustments will affect the total block positions shown in Row G and Row L.

The main recommendations document (Document MU) lists the key decisions that form the basis of the calculations.

Costs in the Schools Block and High Needs Block are calculated on the assumption that the Schools Forum will recommend the formula funding proposals that have already been set out for consultation.

Costs in the Early Years Block are calculated on the assumption that the Early Years Single Funding Formula, as proposed in Document MR and still under consultation, will be agreed and implemented.

Planned High Needs Block expenditure is calculated on the planned places presented in Document MT Appendix 2.

Planned Central Schools Services Block expenditure, and centrally managed funds held within the Schools and Early Years Blocks, are shown in more detail in Document MQ Appendix 1.

Please note that the final cost of business rates in 2021/22 charged to the Schools Block is still to be confirmed. The actual final cost will also be affected by the conversion of maintained schools to academy status during 2021.

E) **Value of spending safety net**

This row shows that there is no safety net provision held within the 2021/22 DSG planned budget. Any increase in costs during 2021/22 that cannot be met within the planned budget, will be met from balances brought forward.

F) **Value of 2021/22 Schools Budget proposed to write off a deficit balance brought forward from 2020/21**

This row confirms that no value of Schools Budget in 2021/22 is being allocated to write off a deficit balance brought forward from 2020/21.

G) **Total underspend / overspend on 2021/22 DSG allocation**

This row shows the difference between total planned expenditure (Row D) and total DSG income (Row A) in 2021/22 by block.

It shows the position before the allocation of brought forward balances in support of expenditure already included within 2021/22 Schools Budget Row D.

It also shows the position before the transfer of any monies between blocks.

H) **Proposed transfer of DSG income between blocks 2021/22**

This row shows the values of DSG income transfers between blocks. The only transfer is the income received in the CSSB, from the merger of the Teacher Pensions, to the HNB where our expenditure is actually incurred.

I) **Proposed allocation of balance brought forward from 20/21 to support specific agreed items**

This row shows the allocation of balances brought forward from 2020/21 to specifically agreed expenditure items in 2021/22, which are:

a) the allocation of Early Years Block balance to support the cost of our the Early Years Single Funding Formula in 2021/22 as presented in Document MR.

b) the allocation from the Schools Block Growth Fund (estimated value) to Beckfoot Upper Heaton Academy in accordance with the agreed support model.

J) **Proposed allocation of balance brought forward from 2020/21 to support block spend in 2021/22 (positive) or to Retain (negative)**

For the Schools Block, this row shows a value of £0.467m of headroom from the 2021/22 settlement, which is proposed to be retained for use in 2022/23. Please see Document MU.

For the High Needs Block, this row shows a value of £0.235m of brought forward balance, which is allocated to account for the overspend that is estimated within the planned budget. Please see Document MU.

For the Early Years and Central Schools Services Blocks, this row shows that there is no further under or over spend to account for within the 2021/22 planned budget.

K) **Additional non-DSG funds allocated to support DSG spending activity**

This row confirms that there is no additional non-DSG sourced income allocated into the 2021/22 DSG planned budget.

L) **Estimated final over or underspend position by block in 2021/22**

This row shows the final 'balancing' position of the DSG planned budget by block and in total after all transfers and the allocation of brought forward balances in support of expenditure.

Row M then shows (repeats from Document MP) the estimated values of balances to be brought forward from 2020/21.

Row N then shows (repeats from Document MP) the estimated values of balances to be carried forward into 2022/23.

Row O then shows (repeats from Document MQ Appendix 2) the estimated total value of Schools Block de-delegated funds balances to be brought forward from 2020/21.

Row P then shows (repeats from Document MP) the estimated value of the Schools Block Growth Fund balance to be brought forward from 2020/21.

Row Q then shows (repeats from Document MP) the estimated value of the Schools Block Falling Rolls Fund balance to be brought forward from 2020/21.