

WYPF Breaches of the Law 2019 -2020

| Date | Category (eg administration, contributions, funding , investments) | Pensions Regulator code of practice | Description and cause of breach | Possible effect of breach and wider implications | Reaction of relevant parties to breach | Reported/Not reported | Outcome of report and or investigations | Outstanding Actions |
|------------|--|-------------------------------------|---|---|--|--|---|---------------------|
| April 2019 | Administration - Maintaining contributions | 147 | <p>Employee contributions deducted from a members pay must be paid to the manager of the scheme, at the latest by the 19th day of the month following deduction or by 22nd day if paid electronically.</p> <p>Please see schedule below for details of employers who failed to make payment by the appropriate date.</p> | Contributions not received by the scheme within the prescribed timescales | <p>Immediate action: All employers have a designated business partner who contact each employer to make them aware of any late payment. Subsequent late payments incur an admin fee charged and notified if there are any further late payments they may be reported to the Pensions Regulator.</p> <p>Continuing Action: Employers are closely monitored. Records of each employer who fail to make payment each month are maintained along with details of the number of late payment occasions.</p> | <ul style="list-style-type: none"> • Not of material significance. Not reported | All outstanding payments are chased up and all payments received. | None |

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Employers who failed to pay make pay over employees contributions by the appropriate date - April 2019 onwards

| Month | Employer | Date contributions due | Date paid | Value of late contributions | No of times late in last 6 months prior to this month | No of times late in last 12 months prior to this month |
|----------|---------------------------------------|------------------------|--------------|-----------------------------|---|--|
| April 19 | Basketball England | 17/05/19 | 05/07/19 | 924.76 | 0 | 0 |
| | Pool Parish Council | 17/05/19 | 14/06/19 | 291.34 | 0 | 0 |
| | Carroll Cleaning (Whetley) | 17/05/19 | 11/06/19 | 73.67 | 0 | 0 |
| | Carroll Cleaning (Wakefield) | 17/05/19 | 11/06/19 | 27.32 | 0 | 0 |
| | Carroll Cleaning (Holy Trinity) | 17/05/19 | 11/06/19 | 51.72 | 0 | 0 |
| | Carroll Cleaning (Birkenshaw Primary) | 17/05/19 | 11/06/19 | 41.77 | 0 | 0 |
| | Carroll Cleaning (Lee Mount) | 17/05/19 | 11/06/19 | 16.59 | 3 | 6 |
| | Carroll Cleaning (Thornbury) | 17/05/19 | 11/06/19 | 28.43 | 0 | 0 |
| | Carroll Cleaning (Frizinghall) | 17/05/19 | 11/06/19 | 39.47 | 0 | 0 |
| | Carroll Cleaning Lapage Primary) | 17/05/19 | 11/06/19 | 56.86 | 0 | 0 |
| | Carroll Cleaning (Nessfield) | 17/05/19 | 11/06/19 | 207.92 | 0 | 0 |
| | Carroll Cleaning | 17/05/19 | 11/06/19 | 397.14 | 0 | 0 |
| | Enviroserve (Calder High) | 17/05/19 | 02/07/19 | 943.98 | 6 | 9 |
| | Enviroserve (Joseph Norton) | 17/05/19 | Not received | | 3 | 3 |