

WYPF Breaches of the Law 2017 -2018

Date	Category (eg administration, contributions, funding , investments)	Pensions Regulator code of practice	Description and cause of breach	Possible effect of breach and wider implications	Reaction of relevant parties to breach	Reported /Not reported	Outcome of report and or investigations	Outstanding Actions	Notes
April 2017 –	Administration - Maintaining contributions	147	<p>Employee contributions deducted from a members pay must be paid to the manager of the scheme, at the latest by the 19th day of the month following deduction or by 22nd day if paid electronically.</p> <p>Please see schedule below for details of employers who failed to make payment by the appropriate date.</p>	Contributions not received by the scheme within the prescribed timescales	<p>Immediate action: All employers have a designated business partner who contact each employer to make them aware of any late payment. Subsequent late payments incur an admin fee charged and notified if there are any further late payments they may be reported to the Pensions Regulator.</p> <p>Continuing Action: Employers are closely monitored. Records of each employer who fail to make payment each month are maintained along with details of the number of late payment occasions.</p>	Not reported	All outstanding payments are chased up and all payments received.	None	.

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Sept 17	Administration – Issue of Annual Benefit Statements	189	<p>Scheme regulations require that an annual benefit statement must be provided to each active member by the 31 August each year.</p> <p>At 31 August 17 the percentage of statements sent out were:</p> <p>Active – 99.15% (89428 statements out of 90193)</p>	Some members not supplied with relevant information within time limit	Continued to send annual benefit statements out after the 31 st August deadline when case queries are resolved.	Not reported	Due to the improvement in performance in issuing statements to active members by the deadline it is not regarded as of material significance.	Only accurate statements have been released as strict quality controls in place. Outstanding statements are released on a daily basis when record is correct.	

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Employers who failed to pay make pay over employees contributions by the appropriate date - 2017/2018						
Month	Employer	Date contributions due	Date Paid	Value of late contributions	No of times late in last 6 months	No of times late in last 12 months
April	Holme Valley Parish Council	19/05/2017	24/05/2017	381.74	0	1
April	Elite Cleaning and Environmental Services Ltd	19/05/2017	24/05/2017	569.69	0	0
April	NIC Services Group Ltd	19/05/2017	30/05/2017	147.98	2	2
April	NIC Services Group Ltd (Cookridge Holy Trinity School)	19/05/2017	30/05/2017	87.94	2	2
April	CBRE Managed Services Ltd	19/05/2017	22/06/2017	2,870.16	4	5
May	British Gas Social Housing Ltd	19/06/2017	24/06/2016	44.13	0	2
June	Shanks Waste Management Ltd	19/07/2017	07/08/2017	6432.83	0	0
June	Keepmoat Property Services Ltd	19/07/2017	07/08/2017	55.71	0	0
June	Elite Cleaning and Environmental Services Ltd.	19/07/2017	25/07/2017	292.51	1	1
July	Absolutely Catering Ltd(Bgs)	18/08/2017	23/08/2017	533.19	0	0
July	Elite Cleaning and Environmental Services Ltd	18/08/2017	25/08/2017	305.91	2	2
July	Cottingley Primary Academy	18/08/2017	01/09/2017	4879.21	0	0
August	Aspire –i	19/09/2017	25/09/2017	13,475.72	0	1
August	Holy Bank Trust	19/09/2017	29/09/2017	11,520.53	0	0
August	Elite Cleaning and Environmental Services Ltd	19/09/2017	19/10/2017	323.16	3	3
August	Aspens Services Ltd	19/09/2017	01/11/2017	783.01	0	1

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August	Aspens Services Ltd (Leeds West Academy)	19/09/2017	01/11/2017	874.69	0	1
August	Aspens Services Ltd (Leeds East Academy)	19/09/2017	01/11/2017	341.48	0	1
August	Aspens Services Ltd (Oasis Academy)	19/09/2017	01/11/2017	1,021.68	0	1
August	Aspens Services Ltd (Appleton Academy)	19/09/2017	01/11/2017	1,258.74	0	0

Sept	Holy Bank Trust	19/10/2017	30/10/2017	11,700.95	1	1
Sept	Aspens Services Ltd	19/10/2017	02/11/2017	806.75	1	2
Sept	Aspens Services Ltd (Leeds West Academy)	19/10/2017	02/11/2017	863.68	1	2
Sept	Aspens Services Ltd (Leeds East Academy)	19/10/2017	02/11/2017	328.41	1	2
Sept	Aspens Services Ltd (Oasis Academy)	19/10/2017	02/11/2017	1,010.34	1	2
Sept	Aspens Services Ltd (Appleton Academy)	19/10/2017	02/11/2017	1283.50	0	1
Sept	Groundwork Leeds	19/10/2017	17/11/2017	1433.00	1	1

Oct	Aspens Services Ltd (Leeds West Academy)	17/11/2017	22/11/2017	872.84	2	3
Oct	Aspens Services Ltd	17/11/2017	22/11/2017	2,132.44	2	3
Oct	Aspens Services Ltd (Leeds East Academy)	17/11/2017	22/11/2017	393.73	2	3
Oct	Aspens Services Ltd (Oasis Academy)	17/11/2017	22/11/2017	1,044.27	2	3
Oct	Aspens Services Ltd (Appleton Academy)	17/11/2017	22/11/2017	1,353.08	2	3
Oct	Locala	17/11/2017	23/11/2017	2,020.74	0	1
Oct	Rawdon Parish Council	17/11/2017	24/11/2017	219.41	0	1
Oct	Micklefield Parish Council	17/11/2017	01/12/2017	267.41	0	0
Oct	British Gas Social Housing Ltd	17/11/2017	06/12/2017	27.09	1	1

Nov	Absolutely Catering Ltd (BGS)	19/12/2017	28/12/2017	565.46	2	2
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Dec	Sitlington Parish Council	19/01/2018	24/01/2018	630.11	0	0
Dec	Ackworth Parish Council	19/01/2018	25/01/2018	999.25	0	0

Feb	Basketball England	19/03/2018	03/05/2018	914.38	0	1
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March	Basketball England	19/04/2018	03/05/2018	914.38	1	2
March	Aspens Services Ltd	19/04/2018	25/04/2018	862.13	4	4
March	Aspens Services Ltd (Leeds West Academy)	19/04/2018	25/04/2018	946.88	4	4
March	Aspens Services Ltd (Leeds East Academy)	19/04/2018	25/04/2018	354.54	4	4
March	Aspens Services Ltd (Appleton Academy)	19/04/2018	25/04/2018	1225.99	4	4