

### Report of the Strategic Director Children's Services to the meeting of Shipley Area Committee to be held on 14 February 2018

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#### Subject:

Proposal for the restructure of Special Educational Needs and disabilities (SEND) Specialist Teaching Support Services for children and young people with SEND

#### **Summary statement:**

The report presents the revised proposed model for the restructuring of SEND Specialist Teaching Support Services for children and young people with SEND to improve their educational outcomes.

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#### 1.0 SUMMARY

- 1.1 The report presented to Executive on the 9 January 2018 followed the previous report presented to Executive on 20 June 2017.
- 1.2 Children's Overview and Scrutiny Committee will be presented with the contents of the report to Executive of the 9 January 2018 for their comment on the 14 February 2018.
- 1.3 Each of the five Area Committee's will also be presented with the contents of the report to Executive of the 9 January 2018 for their comment. This commenced with a report to Keighley Area Committee on the 1 February and concludes with a report to Bradford West Area Committee on the 28 February 2018.
- 1.4 On 20 June 2017, Executive agreed to a period of consultation until 31 August 2017 with a range of stakeholders on the proposed remodelling of SEND services for children and young people from ages 0-25.
- 1.5 As a result of feedback and responses during this period of consultation, particularly from schools, internal staff teams and national organisations representing children and young people with sensory impairment, the proposals in the previous report to Executive have been changed.
- 1.6 The changes take account of the feedback received in order to:
  - Ensure that the proposals improve the quality of support and provision for all SEND pupils and meet the SEN Improvement Test (See Appendix 1);
  - Ensure that the funding from the Dedicated Schools Grant High Needs Block (HNB) is used effectively and efficiently to meet the full range of SEND needs across the 0-25 years age range;
  - Specifically, we need an option which **both** reduces pressure on the High Needs Block **and** increases specialist places.
- 1.7 As a result of the announcement in September 2017 about the new National Funding Formula (NFF) which the government is introducing from April 2018, which provides funding for children and young people with SEND. Bradford should have gained £15m but under these new proposals Bradford will only gain £7.5m. The impact of this is significant on the High Needs Block with the HNB spending forecasted to exceed what is available by approximately £2m per year for the next four years.

- 1.8 Our HNB spending levels are forecasted to exceed the amount that is allocated to spend by approximately £2m per year for the next four years. The proposed changes in this report sit alongside other wider proposed solutions to address the forecasted pressures on the HNB. These wider plans include: reviewing the top up funding for places for pupils without an Education, Health and Care Plan (EHCP) in our Pupil Referral Units and reviewing the timeline for the increase in specialist places across the District.
- 1.9 We need to reduce the pressures on the HNB, deliver further financial savings, continue to fund and deliver SEND teaching and support services to children and young people, whilst having to increase the number of specialist places to meet the rising demand and complexity of children and young people with SEND in Bradford.
- 1.10 The changes to the National Funding Formula have also placed significant pressure on schools' budgets and may make it more difficult for schools to buy services.
- 1.11 In light of the consultation feedback received, the proposed model made previously to Executive on the 20 June 2017 has been reviewed and a number of options have been considered and are set out in this report. We have reviewed and replaced the previous model presented because:
  - Schools told us that they would struggle to pay for the 100% traded services for school aged children;
  - Our parents were concerned that school aged and post-16 children and young people were being left without a funded service as all of the funding from the HNB was being used for the 0-5+ years model;
  - Organisations told us that the funding of the model was not fair and equitable and could risk losing SEND services and specialisms in the District and could jeopardise the delivery of our statutory duties under the SEND Code of Practice;
  - Parents and young people told us that more support is needed to help young people aged 16 – 25 years into training and work.
- 1.12 The previous model put before the Council Executive in June 2017 included two Early Years SEND Centres of Excellence for children 0-5+ years which were to be fully funded by the High Needs Block; and a Specialist Teaching Support Service to support the full range of special educational needs and disabilities for children and young people from the ages 5 16 years which was to be 100% traded. The funding for this model was reviewed in the light of the consultation and the other funding changes under the National Funding Formula; as a result this model was no longer financially viable.

- 1.13 The Executive report of the 9 January 2018 detailed a preferred new model (Option 3) which restructures the current SEND specialist teaching support services into a 0-25 Inclusive Education Service for children and young people from 0-25 years of age as outlined in the SEND Code of Practice. This new service will have two teams who will work closely together to deliver support through a single referral system; a team to support high occurring needs such as autism, learning needs and social, emotional and mental health needs; and a team who will support low occurring needs such as hearing impairment, visual impairment, multi-sensory impairment and physical and medical needs.
- 1.14 In order to fund the increase in specialist places that are required across the District, and make savings to reduce the increasing pressure on the HNB, both these teams would have an element of High Needs Block funding but will also need to generate some income through the trading/selling of some of their services to schools. This model of support will be 70% funded from the high needs block and 30% traded and will be more sustainable; it also includes support for young people 16 25 years of age which was feedback by young people, parents and organisations during the previous consultation in summer 2017..
- 1.15 The proposed preferred option in the report would also align to the four localities proposed in the Prevention and Early Help model agreed for consultation by the Council's Executive on 7 November 2017.
- 1.16 Approval was sought and received from Executive on the 9 January 2018 to formally consult on the revised proposed preferred option for the transformation of SEND Teaching and Support Services for children and young people aged 0-25 years from 17 January 2018 to 28 February 2018.

#### 2. BACKGROUND

- 2.1 Bradford is one of the youngest populations in the country. We have a growing population of children and young people in Bradford and a growing population of children and young people with SEND.
- 2.2 The complexity of special needs in Bradford is also increasing and, as a result, there is a need for more specialist places.
- 2.3 We have an increasing number of referrals for Education, Health and Care assessments (EHCA):

Year	2014-15	2015-16	2016-17
No of referrals	520	735	843
for EHCA			

- 2.4 The announcement by the Department for Education on 14 September 2017 on the new National Funding Formula means that there will be significant pressure on our High Needs Block. The way that the funding has been calculated for Bradford means that we do not get as much funding as we had expected according to the formula outlined in the consultation papers.
- 2.5 Alongside the challenging financial climate, we also have the opportunity to transform the way SEND teaching and support services and provision are delivered in Bradford. The preferred model is driven by our vision to support children, young people and families as early as possible, build independence and so also reduce costly intervention later in the life of a child or young person.
- 2.6 In order to do this we need to ensure that a range of specialist services are available across the District for children and young people with SEND and that we meet the needs of the SEN Improvement Test (see Appendix 1). Local authorities proposing to make changes are required to demonstrate that the proposed changes are likely to lead to improvement in the standard, quality and/or range of educational provision for children with special educational needs and disabilities.
- 2.7 The proposal is also based on the evidence and findings of the SEND Strategic Review in Bradford 2016
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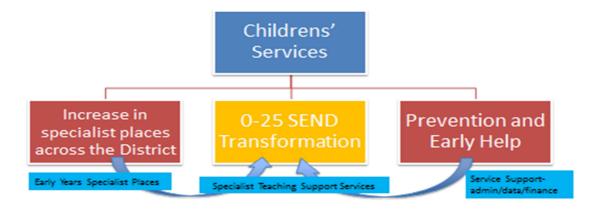
#### 2.8 Messages from engagement and consultation

- 2.9 Between 2 May 2017 until 6 June 2017, Children's Services undertook a period of engagement on proposals to remodel SEND services 0-25. This was then followed by consultation from 26 June 2017 to 31 August 2017.
- 2.10 This included consultation and feedback from:
  - Parents and Carers Forum
  - Head teachers
  - SEND Strategic Partnership
  - Elected members

- Managers and teams in Children's Services
- Health and Well-Being Board
- The Schools Forum
- 2.11 This was promoted through the Local Offer website, Bradford Schools Online (BSO), the Council's consultation website, the SEND summer conference 2017 and attendance at events such as the Head teachers' briefings, Schools Forum, partnership meetings such as the Integrated Early Years Strategy Group, the SEND Strategic Partnership, and the Bradford Primary Improvement Partnership (BPIP).
- 2.12 An online survey to schools was also undertaken in the 2017 summer term about the work of the specialist teaching support services. Of the 134 responses 99% had received support from the services and would like this to continue. Of the 117 schools who responded to this question 'Did the support have a positive outcome for the school/child?' 96% (112) responded 'Yes'.
- 2.13 **Note:** the consultation regarding the expansion of specialist places for children and young people with SEND (including those for young children under five years of age) is in the paper 'Ensuring the sufficiency of specialist places across the Bradford District for children and young people with Special Needs and Disabilities (SEND).' Please follow this link for these consultation documents from early November.
  - www.bradford.gov.uk/consultations
  - https://bso.bradford.gov.uk
  - https://localoffer.bradford.gov.uk/ Bradford SEND Local Offer

This paper details the second of the three consultations that are running alongside each other –

- To increase the number of specialist places for children and young people with SEND
- 2. The 0-25 Transformation of Specialist Teaching Support Services
- 3. Prevention and Early Help



#### 3. OPTIONS AND PREFERRED MODEL

- 3.1 For teams in scope of these options please see Appendix 2.
- 3.2 Option 1 Remain with the same specialist teaching support services and teams and spread the required savings across these teams. This option would mean staff reductions of between 35-40\* FTE.
  - \*This is based on the average cost of a member of staff being £36,000
- 3.3 Under this option, it was proposed that the SEND teaching and support services remain in place as presently centrally provided and funded through the High Needs Block (HNB). The services would need to be reduced in size to meet the financial savings required to reduce the pressure on the HNB.
- 3.4 The current spend on specialist teaching and support services is £4.725m. These services cover Cognition and Learning, Autism, Physical and Medical, Sensory Service, 0-7 Early Years SEND; the Early Years Intervention Team and Teaching Support Services administration services and resourced provisions.
- 3.5 Of the £4.725m, £4.321m is currently spent on centrally managed services and £0.404m on enhancing the offer and provision for young children with SEND.
- 3.6 HNB spending is currently forecasted to exceed our HNB allocation by approximately £2m per year for the next four years. As a result, there is a need to implement a number of significant structural solutions to reduce the growth of a significant deficit in the HNB. The options in this paper are one of a number of other solutions needed to reduce this pressure.

#### **Option One** Pros: Cons: Team remain working as they To reduce the pressure on the HNB whilst currently do and systems remain retaining the teams as they currently are relatively stable and are familiar to would mean significant staff reductions children, schools and families. across all of the existing teams. This would most likely result in a lack of capacity to meet need across all areas of SEND, a lack of a timely response to high rates of referrals and an inability to meet our statutory duties for both low and high incidence (occurring) special needs. Staff reductions would lead to some disruptions for service users. Would not meet the SEN Improvement Test. Is not efficient, as schools currently have to enter into separate service level agreements with different teams within specialist teaching and support services. There is duplication of service support functions e.g. administration. It does not provide an integrated approach for children, young people and their families.

This was not the preferred option because the reduction in staff would lead to a less co-ordinated and effective level of service to children, schools and families and not meet the requirements of the SEN Improvement Test.

#### 3.7 Option 2 – there are three main elements to this proposed option:

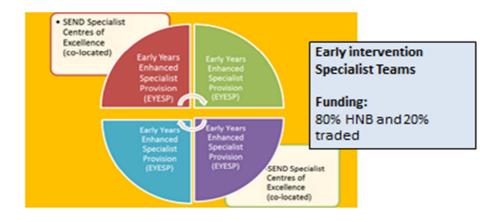
- Two Early Years SEND Centres of Excellence to meet the needs of children up to the age of five years;
- Teaching Support Services for high incidence or occurring SEND into an Integrated Specialist Teaching Support Service for children and young people aged 5-16 years of age;
- Teaching Support Services for low occurring SEND into a Sensory and Physical Needs team for children and young people aged 5-16 years of age.

#### This option would mean potential staff reductions of between 10-12 FTE.

- 3.8 This option would also require that all three elements of the model would be partly funded from the High Needs Block and teams would also be required to sell some of their services to generate an income.
- 3.9 Option 2 was a revision of the preferred option presented to Council's Executive on 20 June 2017 and subject to initial consultation 26 June until 31 August 2017. The changes to the organisation and staffing of the teams and proposed level of funding allocated to the teams was *changed* in line with feedback received between 26 June 2017 and 31 August 2017.
- 3.10 Under this revised option, it was proposed that the district would be divided into two areas. In each locality there would be a **SEND Early Years Centres of Excellence** which would be co-located with one of the four Enhanced Early Years Specialist Provisions which provide early years places for young children with SEND. These are based at:
  - Abbey Green Nursery School
  - Canterbury Nursery School
  - St Edmunds Nursery School
  - Strong Close Nursery School

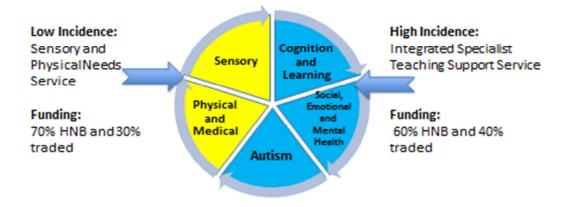
NB. Because of the need to increase the number of specialist places, the additional specialist early years places created at these four nursery schools are included in a separate consultation see above 2.13. The cost for these places is £1,006, 672; see table in 5.1.

Option 2 - Previous Exec Model - revised funding across model



- 3.11 The SEND Early Years Centres of Excellence would comprise a range of SEND specialist practitioners, for example, specialist teachers of autism, cognition and learning and behaviour, portage home visitors and Equality and Access (Inclusion) Officers. They would provide consultation; support, training and outreach work for all SEND early years children across all types of early years settings within the locality in addition to those accessing the specialist places in the four nursery schools noted above.
- 3.12 To be able to fund the required increase in early years specialist places (an additional £1,006,672 from the High Needs Block), the proposed SEND Early Years Centres of Excellence would need to have a reduced staffing model and have an element of income generation through selling some of their services to providers/settings/schools. This was revised in light of the announcement on the National Funding Formula and would require 80% funding through the HNB and 20% traded/income generation.
- 3.13 There would need to be a reduction in the number of posts contained within the original proposal put to the Executive on 20 June 2017. In total this reduction would be between 10-12 FTEs.
- 3.14 In addition to the SEND Early Years Centres of Excellence for young children, Option 2 would also include:
  - A SEND Teaching Support Service for 5-16 year-olds for high occurring SEND needs
  - Creation of a new low occurring SEND Teaching Support Service Sensory and Physical Needs

## Option 2 - Changes to model and funding



### A SEND Teaching Support Service for 5-16 year-olds for High Occurring SEND

Under this Option 2, the service would be partly funded from the HNB (60%) and would need to generate 40% of their total costs by selling services.

- Autism
- Cognition and Learning
- Social, Emotional and Mental Health

Team would comprise:

- Head of Service and Deputy Head of Service/Specialist Teacher
- Specialist Teachers:
  - Cognition and Learning
  - SEMH
  - Autism
- Peripatetic Specialist practitioners
- Business/Finance/data/a dmin

#### Sensory and Physical Needs Team: Low Occurring SEND

Revised financial modelling was based on a 70% funded model from the HNB and 30% on an income generated model through selling some of their services to schools.

- Hearing Impaired,
   Visually Impaired and
   Multi-Sensory
   Impairment
- Physical and Medical

Team would comprise:

- Head of Service
- Team Leader Support Team for Deaf children
- Business Support
- Visual Impairment (VI)
   Team
- Support Team for Deaf Children
- Physical and Medical Specialist Teachers

#### **Option Two** Pros: Cons: This option would: Overall, this option would only generate a small saving from the HNB of approximately £3,500 per annum as we Provide dedicated support to would have to: children 0-5 years; provide HNB funding for the increased Enable teams to work more closely number of specialist places that we require with the children, families and staff at a cost of £1,006,672; in the newly created specialist fund the low incidence team (70% from the

early years SEND places in the four nursery schools;

Would provide some HNB funding for the integrated teaching team to work with children 5 -16 years of age.

Would reduce the amount of income that the sensory team would need to generate based on the original proposals in the Executive paper of 20 June which was for the service to be 50% funded through the HNB and 50% income generating.

- HNB rather than the original proposal of 50%) and the low incidence team would have to generate an income of 30%;
- fund the high incidence team (funded 60% from the HNB instead of 0% funding from the HNB under the previous proposals) and the team would have to generate an income of 40%.

This would increase pressure overall on the HNB and lead to a growing and significant deficit.

The reductions in staffing in the two Centres of Excellence would only provide minimal savings and, in order to provide a viable service staffing could not realistically fall below this level.

The Centres of Excellence would have to generate 20% of their funding through selling some of their services.

This was not the preferred option because it would not allow the Council to make the savings required to provide extra specialist places for young children for which there is an increasing demand. This would increase the pressure on the HNB and lead to a growing and significant deficit.

# 3.15 Option 3 – preferred proposed option – 0-25 SEND Inclusive Education Service there are two elements to this option:

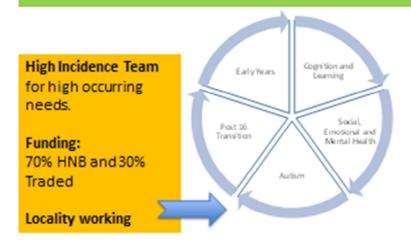
 The creation of an integrated 0-25 years high incidence/high occurring SEND teaching support service to support children and young people who have autism, additional learning needs and difficulties and social and emotional and mental health needs;

#### **AND**

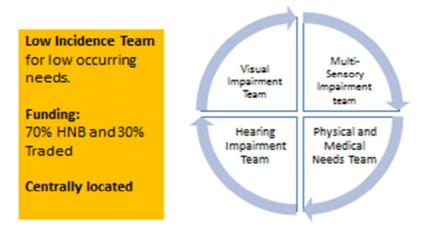
The creation of a new low incidence/low occurring 0-25 years SEND
Teaching Support Service to support children and young people with hearing
impairment, visual impairment, multi-sensory impairment and physical and
medical needs.

This option would mean potential staff reductions of between 25-30 FTE.

### High Incidence Integrated Teaching Support Service



### Low Incidence Sensory and Physical Needs Team



# 0-25 SEND Inclusive Education Service made up of 2 teams:

# High incidence/occurring special needs)

This team would align to work across the areas proposed in the Prevention and Early Help consultation:

- Keighley/Shipley
- East
- West
- South

#### Autism

- Cognition and Learning
- Social, Emotional and Mental Health

#### Team would comprise of:

- Service Manager
- Four Locality Leads (0.5 management role with 0.5 Specialist teaching role)
- Specialist Teachers
- Peripatetic Specialist Practitioners
- Portage Home Visitors including one Senior Portage Home Visitor
- Early Years Specialist Practitioners
- Post-16 Transition Officers
- Equality and Access (Inclusion) Officers
- Business/Finance/ad min

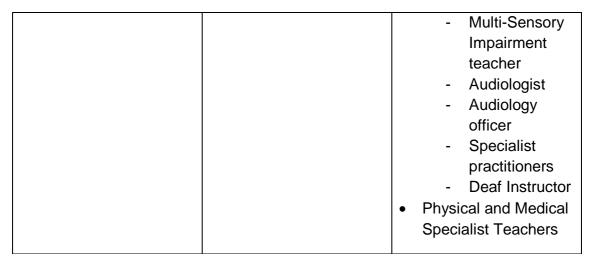
#### Sensory and Physical Needs Team: for low Incidence/occurring SEND.

Revised financial modelling has been based on a 70% funded model from the HNB and 30% on an income generated model through selling some of their services to schools.

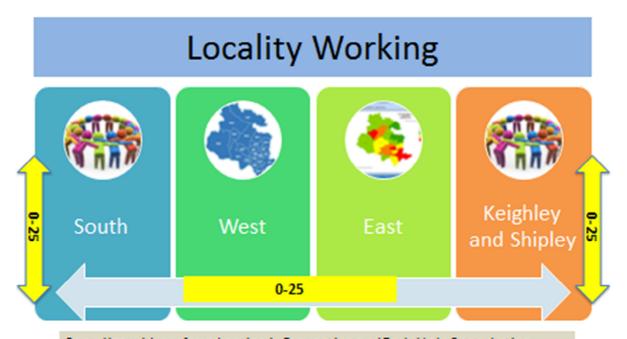
- Hearing Impaired,
   Visually Impaired and
   Multi-Sensory
   Impairment
- Physical and medical

#### Team would comprise:

- Head of Service
- Team Leader Business Support
- Visual Impairment Team
  - Specialist teachers
  - Specialist Practitioner
  - Technical
     Support
  - Habilitation
     Officers
- Support Team for Deaf Children
  - Specialist
     Teachers of the deaf



- 3.16 The two teams within the new model will work closely together and will have a single point of referral into the support to simplify the process for families and schools and external agencies.
- 3.17 There is the potential that the work of these teams can align to the four locality model in the Prevention and Early Help preferred option currently under consultation.



Can align with preferred option in Prevention and Early Help Consultation

#### **Option Three**

#### Pros:

Create one integrated team of specialists supporting children and young people from 0-25 years of age.

Better support transitions for example from home or early years settings into schools, both primary, secondary and Special and then into post -16 education, provision and apprenticeships and into employment

Reduce the number of staff/professionals that families with children with SEND and schools have to interact with and help to simplify and streamline the referral process through one single point of contact.

Allow schools to enter into one service level agreement to meet the needs of children and young people with the whole service rather than separate teams, right through to 25 years of age.

Reduce duplication of service support functions, for example of administration and financial support and help to build a more responsive, timely and cost efficient service.

#### Cons:

There would be a reduction in the number of specialist teachers and specialist practitioners employed by the LA to offer support to children, schools and families.

The team will need to generate an income of 30% of the overall cost of the service to maintain or sustain this proposed level of staffing.

This is the preferred option because it integrates specialist teaching and support staff into two teams (High and Low Incidence) which are potentially more viable and will help to retain specialisms within the district which will together provide a prompt and more consistent support to children, young people aged 0-25 years and their families and schools and better support transitions between home, school and employment and training

3.18 This option would also generate greater net savings from the HNB of £0.774m and would reduce some of the pressure on the HNB. The overall spend on teaching support services would reduce from the current £4.725m to £2.945m whilst also providing the £1.006m for the additional specialist early years SEND places.

#### 4. OTHER CONSIDERATIONS

4.1 This proposal sits alongside those proposals for Prevention and Early Help and the consultation on the expansion of specialist places – 'Ensuring the sufficiency of specialist places across the Bradford District for children and young people with Special Needs and Disabilities (SEND)'.

#### 5. FINANCIAL & RESOURCE APPRAISAL

5.1 Substantial savings must be made from the High Needs Block and the table below identifies the savings from each option. The options costed below show current spend on the specialist teaching support services (Column 1). In Option 2 (Column 3) and the preferred Option 3 (Column 4) £1,006,672 will be needed to fund the additional specialist early places and £170,000 will be used to continue to fund the Early Years SEN Assessment Team who will move into a 0-25 SEN Assessment Team (not part of this consultation).

	Column 1	Column 2	Column 3	Column 4
			Option 2	Option 3
		Option 2	Costs of	Cost of
	Current	Costs for	the	the
	2017/18	original	reworked	preferred
	HNB Spend	model	model	model
Costs of Specialist Teaching				
Support Services	4,725,725	2885,761	3,545,627	2,774,792
Early Years - Places		1,006,672	1,006,672	1,006,672
Costs of SEN Assessment				
Team		170,000	170,000	170,000
Total	4,725,725	4,062,432	4,722,299	3,951,464

As the preferred option would be a significant programme of change, additional dedicated resource and support will be required from a range of other council services and teams including Human Resources, Financial and Legal Services, Communications and Workforce Development. There has been £200k allocated from the Transformation Fund to support the Early Help and SEND Transformation programme.

5.3 Any redundancy costs that arise from these proposals will be covered through the DSG – High Needs Block.

#### 6. RISK MANAGEMENT AND GOVERNANCE ISSUES

- 6.1 Unless there is a radical change in the way that SEND Teaching and Support Services are delivered and make a significant contribution to savings required from the High Needs Block the pressure on the HNB will continue. Spending is currently forecasted to exceed our allocation by approximately £2m a year for the next four years. The trajectory is that by 2021/22 the HNB will have a forecasted deficit of £9.2m.
- 6.2 To deliver this programme of change requires delivery at considerable pace and a further period of formal consultation with children, young people, families and partners, workforce and other interested parties.

#### 7. LEGAL APPRAISAL

- 7.1 The SEND Code of Practice 2015 sets out statutory guidance that local authorities, education settings and health bodies must take into account when carrying out their respective duties in respect of children and young people aged 0-25 years.
- 7.2 The Local Authority has a duty to identify, assess and make provision to meet the special educational and wider needs of children within its area and to monitor progress against outcomes. From September 2014 all new statutory assessments and Plans must consider educational, health and care needs, outcomes and appropriate provision.
- 7.3 Local authorities are expected to take into account the views of children, young people and their parents when proposing changes to any SEN provision and should identify the specific educational benefits and improvements in provision which will flow from the proposals.
- 7.4 One of the initial factors for consideration of any changes to SEN provision for a local authority is to ensure that pupils will have access to appropriately trained staff and access to specialist support and advice.
- 7.5 The SEND Code of Practice January 2015 provides that when considering any reorganisation of special educational needs provision that the Local Authority must make it clear how they are satisfied that the proposed alternative arrangements are likely to lead to improvements in the standard, quality and/or range of educational provision for SEN (see Appendix 1 for the SEN Improvement Test).

- 7.6 The Local Authority must have regard to its public sector equality duties under section 149 of the Equality Act 2010 when exercising its functions and making any decisions. The Local Authority must carry out an Equalities Impact Assessment to enable intelligent consideration of the proposals. The Local Authority must have due regard to the information in the Equalities Impact Assessment in making the decision to commence consultation on these proposals.
- 7.7 Consultation with employees in relation to any proposed changes will follow procedures set out in 'Managing Workforce Change'.
- 7.8 In circumstances where there is no prescribed consultation period or prescribed statutory process the Local Authority should consult interested parties in developing their proposals and before publication or determination of those proposals as part of their duty to act rationally and to take account of all relevant considerations. Any responses received to the consultation should be considered and the Local Authority must have regard to its Public Sector Equality Duty before any decision is taken to implement the proposals.
- 7.9 Consultation must take place with all interested parties when proposals are still at a formative stage, sufficient reasons must be put forward for the proposal to allow for intelligent consideration and response. Adequate time must also be given for consideration and to respond to the consultation and conscientious account must be taken of responses when a decision is made. Whilst all options do not have to be consulted upon they must be sufficiently clear to enable consultees to understand the proposals.
- 7.10 Consultation must be easily understandable by those most likely to be affected by the proposed changes. The language should not be technical and what is being proposed and the impact of the proposals must be in plain English.

#### 8. OTHER IMPLICATIONS

#### 8.1 **EQUALITY & DIVERSITY**

- 8.1.1 The Local Authority must not discriminate directly or indirectly against any group or individual and is required to foster good relations.
- 8.1.2 An Equalities Impact Assessment for the preferred proposed Option 3 is attached as Appendix 3.

#### 8.2 **SUSTAINABILITY IMPLICATIONS**

There are no direct sustainability implications arising from this report. Any development or changes to buildings undertaken as a result of these proposals will be undertaken in a sustainable way which minimises the future impact of the

Local Authority's carbon footprint.

#### 8.3 GREENHOUSE GAS EMISSIONS IMPACTS

The proposals would not impact on gas emissions.

#### 8.4 COMMUNITY SAFETY IMPLICATIONS

There are no direct community safety implications arising from this report.

#### 8.5 HUMAN RIGHTS ACT

There are no direct Human Rights implications arising from this report.

#### 8.6 TRADE UNION

- 8.6.1 This proposal was presented at Children's OJC Level 2 on 7 December 2017.
- 8.6.2 The trade unions will be fully consulted on the proposals and meetings are scheduled with the Trade Unions on the proposals and their feedback will be incorporated into future reports to Executive. Under these proposals there would be staffing reductions across all of the options considered.

Option	Reduction in pressure on HNB	Current FTE staffing	FTE Staff reductions
Option 1	£660k	108	- 35 to 40
Option 2	£3.5k	108	- 10 to 12
Option 3	£770k	108	- 25 to 30

#### 8.7 WARD IMPLICATIONS

Ward Councillors will be formally consulted upon about the proposals affecting their wards.

#### 9. NOT FOR PUBLICATION DOCUMENTS

None.

#### 10. **OPTIONS**

10.1 That the committee considers the report and makes comments

#### 11. RECOMMENDATIONS

11.1 Shipley Area Committee to note the details of this report and comment.

#### 12. APPENDICES

Appendix 1: The SEN Improvement Test for preferred Option 3

Appendix 2: Staff in scope

Appendix 3: The Equality Impact Assessment

Appendix 4: Consultation Plan

#### 13. BACKGROUND DOCUMENTS

- SEND Code of Practice
- Bradford Council Plan 2016 2020 A Great Start and Good Schools for all our Children
- Bradford Children, Young People and Families Plan 2016-2020
- The Education Covenant 2017-2020
- Directors of Children's Services: Roles and Responsibilities (2013)

#### **Appendix 1 - the SEN Improvement Test for preferred Option 3**

Based on preferred Option 3: Changes to the way in which specialist teaching support services are delivered for children and young people with special educational needs and disabilities (SEND)

This document demonstrates how the preferred proposal of Option 3 will improve the way in which the Local Authority delivers support to SEND children and young people through the teaching support services to meet the requirements of the SEN Improvement Test.

#### **The SEN Improvement Test**

Any local authority proposing to make changes to schools providing places for children and young people with any kind of Special Educational Needs or Disabilities (SEND), including Social Emotional and Mental Health Needs (SEMH), is required by the Department for Education (DfE) to show that the proposed changes to provision meet the SEN Improvement Test and are able to demonstrate that the proposed arrangements are likely to lead to improvement in the standard, quality and/or range of educational provision for children with special educational needs and disabilities.

The required improvements of the test which are relevant to these proposals are as follows:

1. Improved access to education and associated services including the curriculum, wider school activities, facilities and equipment with reference to the Local Authority's Accessibility Strategy.

SEND and Behaviour Services will continue to meet the requirements of the Government's inclusion agenda. It will ensure a flexible continuum of provision for pupils with a range of special educational needs and disabilities, according to the needs of individual children.

The reorganisation of the teams around an area-based model will ensure that children and families, schools and settings will have easier access to SEND associated services within the communities that they live. The alignment with the consultation models being proposed around Prevention and Early Help will increase communication and joint working with the range of professionals that can form a 'team around the child'.

The proposals will, therefore, lead to improved access to education and associated services including the curriculum, and wider school activities, while providing improved facilities and equipment.

The overall aims of Bradford's Accessibility Strategy and the way they will be met are as follows:

- Curriculum: Increasing the extent to which disabled pupils or prospective pupils can participate in the curriculum.
   Due to the teams being co-located within areas with Prevention and Early Help these proposals will deliver advantages and improvements for the children and young people with SEND across all settings as their access to the specialist support services will be easier within their local community
- Physicality: Improving the physical environment of schools through targeted specialist advice and support provided by the specialist integrated teams about the environment will increase the extent to which disabled pupils can make progress with their learning and improve their outcomes.
- Information: Improving the provision of information in a wide range of formats for disabled pupils.
   Local area support and information in a wide variety of formats on associated services, activities and help can be tailored to the communities that children and families live in and so provided greater knowledge and access and because of the integration of the SEND specialist teams and the alignment to Prevention and Early Help communications and information should be more streamlined, joined up, with a reduction in duplication and information overload by separate teams.

# 2. Improved access to specialist staff, both education and other professionals, including external support and outreach services

The creation of two 0-25 teams under one SEND service – the SEND Inclusive Education Service which would include high incidence SEND along with Early Years specialists, Portage and post -16 personal advisers; and one for low incidence SEND, rather than the current structure of a number of separate teams with their own administration, financial support and management in two different service areas will provide a more joined up, district wide approach to raising outcomes of SEND children and young people from birth to 25 years of age across the district.

The proposed SEND Inclusive Education Service will service four geographical areas and be aligned to the proposals for four teams in Prevention and Early Help. This will mean services are closer to the communities which they serve, there will be a much clearer pathway for any referrals, there will be better communications and shared systems between services so they can join their offer up for children, young people and families and make them more efficient and seamless. Families, schools and other service users should know more clearly who the teams are and who they need to contact and should get a more timely response.

The creation of 0-25 specialist teams also means that children and young people will benefit from the continued support of the full range of training and qualified specialist staff from birth, through education and careers and transition support into further education and employment.

# 3. Confirmation of how the proposals will be funded and the planning staffing arrangements put in place

Both the proposed SEND Inclusive Education Service and the Sensory and Physical Needs (Low Incidence) Service will be funded from the high needs block for 70% of the total cost of the teams. Each team will need to sell some of their services to generate an income of 30% of the overall cost of the team. This 30% of income will be generated through delivering consultancy, training, some equipment and resources to support schools, settings and colleges to deliver a high quality offer to all SEND children and young people.

The staffing for the teams will comprise the majority of the staff that are currently employed within the teaching support teams. These comprise:

- Autism Team
- Cognition and Learning Team
- Social, Emotional and Behavioural Difficulties (SEBD) Team
- Physical and Medical Team
- Sensory Team (which covers hearing and visual impairment and multi-sensory impairment).
- Portage
- Early Years Intervention Team
- 0-7 SEND team

### Appendix 2 - staff in scope

Specialist teaching and support teams	Staff in scope FTE
Cognition and Learning	11.6
Autism	11.0
BESD	13.5
Physical and Medical	4.0
Sensory Outreach team	27.6
0-7 SEND	22.0
Early Years Intervention Team (excluding assessment	18.1
team)	
Numbers in scope for consultation	108*
	(9 vacancies)

<sup>\*</sup> rounded

#### **Appendix 3 - Equality Impact Assessment Form**

Department	Education, Employment and Skills	Version no	Final draft
Assessed by	Lynn Donohue and Angela Spencer-Brooke	Date created	First draft
Approved by	Judith Kirk	Date approved	24.10.17
Updated by	Lynn Donohue	Date updated	04.12.17
Final approval	Judith Kirk	Date signed off	05.12.17

The Equality Act 2010 requires the Council to have due regard to the need to

- eliminate unlawful discrimination, harassment and victimisation;
- advance equality of opportunity between different groups; and
- foster good relations between different groups

### Section 1: What is being assessed?

#### 1.1 Name of proposal to be assessed.

Transformation of the 0-25 SEND specialist teaching and support services specifically under consideration is:

Preferred Option 3, which proposes to create two interdependent combined specialist teams; one Integrated SEND Inclusive Education Service which includes specialists for High Incidence SEND as well as those for Early Years and Post-16 for children and young people aged 0-25 years of age and one for Low Incidence SEND for children and young people aged 0-25 years of age. These two teams will work closely together to deliver advice, training and support to children and young people from birth to 25 (where required).

The proposals have been reviewed and revised in the light of feedback received during a consultation period which ran until 31 August 2017. It has been agreed that these revised proposals will be presented to the Council Executive to ask them to agree to a further period of consultation to ensure that meaningful engagement with all stakeholders can now be undertaken on the preferred option 3.

# 1.2 Describe the proposal under assessment and what change it would result in if implemented.

The Local Authority offers a range of specialist teaching support services to advise, support and train mainstream schools and specialist settings to meet the needs of children and young people with special educational needs and disabilities (SEND).

Currently, these services are mostly based in the city centre and are funded through the High Needs Block (HNB) (funding which the Council receives from the Government) and employ teachers, specialist practitioners and specialist support roles and Post 16 Personal Advisors.

The staff teams which are part of the preferred Option 3 in the proposals to the Council Executive are:

- Autism Team
- Cognition and Learning Team
- Social, Emotional and Behavioural Difficulties (SEBD) Team
- Physical and Medical Team
- Sensory Team (which covers hearing and visual impairment and multi-sensory impairment
- Portage
- Early Years Intervention Team
- 0-7 SEND team

These teams currently have their own management arrangements and some sit within different services within Children's Services Department of the Council.

These teaching support services currently offer statutory and non-statutory support in mainly mainstream schools to support the inclusion and the removal of barriers to learning for children across all the prime SEND needs i.e. learning disabilities, autism, physical and medical needs, social, emotional and mental health needs and sensory needs (hearing, visual and multi-sensory impairment).

The proposal is to create two integrated teams of staff for children and young people from birth up to 25 years of age, rather than the current arrangements which are separate teams within the SEND and Early Years' services of the Council.

One team will be the SEND Inclusive Education Service 0-25 team which will integrate specialist teachers and practitioners from the Portage, Early Years Intervention team, 0-7 SEND team, and High Incidence SEND - Autism, Cognition and Learning and SEBD Teams into one team.

This proposed team will offer early intervention in the home through Portage home teaching for young children; offer support throughout a child and young person's educational journey in school and offer transition support post-16 into training and further education and post-19 into training and employment.

In addition it is proposed that a second low incidence 0-25 SEND team of staff will be created by joining together the current Sensory Team and the Physical and Medical Teams. This team will offer support to children with hearing impairment, visual impairment, multi-sensory impairment, physical and medical difficulties and disabilities.

It is also proposed that this team will offer early intervention in the home; offer support throughout a child and young person's educational journey in school and offer transition support post-16 into training and further education and post-19 into training and employment.

Both of the new teams will work closely together.

The proposals intend to create two teams within the same overarching service (SEND) covering children and young people 0-25 years of age.

This will offer better transitions for children and young people and their families when they move between settings, schools, further and higher education and into employment. The intended result is fewer contacts between different teams and different services in the Council and therefore better and more timely communications with settings, schools, families and their children; a more efficient service, more timely responses to service requests and referrals and overall a more joined up, coherent approach to the children and young people with SEND.

Children and young people with SEND and their families, schools and settings should benefit from the creation of having only two specialist teaching and support teams; with more straightforward access to services,, fewer contacts with the different sections of the Council and people and not being passed between separate teams within the SEND services.

In addition, by creating these two teams it means the Council should keep expertise and SEND specialisms within the district. Because we are proposing that the teams will be part funded from the HNB (Council funding) and partly required to generate an income through selling services to schools, we can afford to retain a larger team of specialist staff. If the services continued to be wholly funded from the HNB, which is under significant budgetary pressure, there would need to be substantial reductions in staffing and some expertise and specialisms would inevitably be lost to the district.

### Section 2: What the impact of the proposal is likely to be

2.1 Will this proposal advance <u>equality of opportunity</u> for people who share a protected characteristic and/or <u>foster good relations</u> between people who share a protected characteristic and those that do not? If yes, please explain further.

**Yes** - This proposed preferred option is intended to advance the equality of opportunity and improve a range of outcomes for children with SEND 0-25 years-old by providing an integrated specialist teaching and support service for children and young people aged from birth to 25 years in early year's settings, schools and colleges.

The creation of two 0-25 teams under one SEND service – the SEND Inclusive Education Service which would include high incidence SEND along with Early Years specialists, Portage and post -16 personal advisers; and one for low incidence SEND, rather than the current structure of a number of separate teams with their own administration, financial support and management in two different service areas will provide a more joined up, district wide approach to raising outcomes of SEND children and young people from birth to 25 years of age across the district.

It is intended that the proposed SEND Inclusive Education Service will service four areas and be aligned to the proposals for four area-based teams in Prevention and Early Help. This will mean that Council services are closer to the communities which they serve, there will be a much clearer pathway for any referrals, there will be better communications and shared systems between services so they can join up their offer for children, young people and families and make them more efficient and seamless. Families, schools and other service users should be able to identify more clearly who the teams are and who they need to contact and should get a more timely response.

The creation of 0-25 specialist teams also means that children and young people will benefit from the continued support of the full range of training and qualified specialist staff from birth, through education and careers and transition support into further education and employment.

2.2 Will this proposal have a positive impact and help to <u>eliminate</u> <u>discrimination and harassment against, or the victimisation</u> of people who share a protected characteristic? If yes, please explain further.

Yes –. The proposals will ensure that all SEND children and young people with a range of special educational needs and disabilities will continue to have access to high quality support from the full range of trained specialist staff. Their access to fully qualified and experienced teachers, practitioners, teaching assistants and other professionals will be improved through the creation of two integrated teams under one SEND service. The opportunities will be further enhanced as the specialist staff will work more closely together, supporting each other and having access to targeted services and through the positioning of these teams together with Prevention and Early Help services who will be area based ,communications and joint working with other services will be improved.

# 2.3 Will this proposal potentially have a negative or disproportionate impact on people who share a protected characteristic? If yes, please explain further.

This is a wide ranging programme of change and involves many people. This has been taken into consideration and for staff their terms and conditions of employment will not

change; there may be a change in their work/office base and the geographical location they cover. In these cases we will involve any staff with disabilities and mobility issues in discussions about work locations and bases, for example in relation to where they live and transport arrangements.

For children and young people with SEND and their families there will should be no negative impacts as they will have professionals who are working more closely within their communities and with their family, setting or school; they should be telling their story once and fewer professionals are involved; communications should be more simple and straightforward.

With integrated teams under one service, the services they provide to children, young people and their families will be more joined up, more timely and responsive. The services to settings and schools will be maintained and improved in the same way that is described for families.

The selling of some services to schools will allow them, if they choose to do so, to buy additional support tailored to their requirements, to meet the needs of the children and young people they educate.

The equality assessment indicates that this proposal is likely; overall, to have no impact or a low impact and that there is no disproportionate impact on any group who share protected characteristics. .

## 2.4 Please indicate the <u>level</u> of negative impact on each of the protected characteristics?

(Please indicate high (H), medium (M), low (L), no effect (N) for each)

Protected Characteristics:	Impact (H, M, L, N)
Age	N
Disability	L
Gender reassignment	N
Race	N
Religion/Belief	N
Pregnancy and maternity	N
Sexual Orientation	N
Sex	N
Marriage and civil partnership	N
Additional Consideration:	N

Low income/low wage	N
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## 2.5 How could the disproportionate negative impacts be mitigated or eliminated?

(Note: Legislation and best practice require mitigations to be considered, but need only be put in place if it is possible.)

The Local Authority and strategic partners have made significant efforts to mitigate against any negative impacts whilst continuing to use High Needs Block funding to intervene early and promote equality of opportunity and access to specialist support services so that it is used effectively to improve outcomes for Children and Young People with SEND. The provision of integrated high quality teams (for both High Incidence and Low Incidence SEND) of SEND specialists will mean that specialisms and expertise are retained within the district for the benefit of children and young people with SEND.

It is important to note that schools have a responsibility to ensure that the needs of their pupils with SEND are met and this has not changed. The Local Authority is committed to working with all our children and young people in Bradford, irrespective of whether they are in academies or free schools, Independent or Private providers and Businesses who provide apprenticeships.

The Local Authority will continue to undertake all of its statutory duties identified in the SEND Code of Practice and this assessment will be updated as and when further consultation is undertaken to analyse any impact on children and families who may use the services and staff providing the services.

### **Section 3: Dependencies from other proposals**

3.1 Please consider which other services would need to know about your proposal and the impacts you have identified. Identify below which services you have consulted, and any consequent additional equality impacts that have been identified.

We have conducted initial engagement and a period of consultation with nursery schools, mainstream schools, special schools, colleges and post 16 providers, partners in the NHS, the Private and Voluntary sector, community partners, social care both Adults and Children, the SEND Parents/Carer Forum and Trade Union Organisations. A further paper is to be tabled to the Council Executive in January 2018 with the revised proposals which will discuss the preferred option and ask the Executive to recommend a period of further formal consultation including all previous consultees and interested parties.

### Section 4: What evidence you have used?

#### 4.1 What evidence do you hold to back up this assessment?

The first Council Executive Report and accompanying evidence was discussed on 20 June 2017. As part of this an extensive evidence and data was used including the incidence of SEND across the district and by type, the number of referrals and by age and type of Special needs, the number and geographical spread of Education and Health Care Plan assessments and by ward some of this is included or referenced in the executive report. In addition, the findings from a survey to schools in July 2017 about SEND Specialist services have also been taken into account in framing these proposals.

An SEN Improvement Test at Appendix 1

#### 4.2 Do you need further evidence?

An initial engagement on the proposals ran from 2 May 2017 to 6 June 2017. A wide range of stakeholders were consulted and we received a significant number of comments and questions. All of these have been reviewed, and as a result of this changes and amendments were made to the initial proposals. An executive Report was discussed at the Council Executive on 20 June 2017.

Following this meeting a period of consultation started on 26 June 2017 due to run until 31 August 2017. As a result of feedback and responses during this consultation period revisions were made to the initial proposed model. A number of options were considered which resulted in the Council's preferred option 3 being developed These revised proposals are contained within a further Council Executive report due to be tabled in January 2018. Executive will therefore be asked to agree a further period of formal consultation on the proposed preferred option early in the New Year 2018 which will engage all interested parties.

#### **Section 5: Consultation Feedback**

# 5.1 Results from any previous consultations prior to the proposal development.

A summary of the responses from the initial consultation 2 May until 6 June has been attached to this document – 'Responses to initial consultation'. Note also paragraph 4.2 above regarding the formal consultation period.

During the initial consultation period a total of 79 responses were received containing a total of 16 comments and 144 questions:

Respondent	Number of responses	Comments	Questions
Internal staff	72	7	121
Teams			
Schools	3	2	2
VCS	2	5	19
Parent	2	2	2
Overall	79	16	144

# 5.2 The departmental feedback you provided on the previous consultation (as at 5.1).

As a result of this initial engagement period 2 May until 6 June some changes were made to the staffing of the then proposed Centres of Excellence.

As a result of feedback and responses during the consultation period 26 June to 31 August 31 2017 further revisions to the proposed model have been made and these will be presented to the Council Executive in January 2018.

# 5.3 Feedback from current consultation following the proposal development (e.g. following approval by Executive for budget consultation).

As a result of feedback and responses during the consultation period 26 June to 31 August 2017 further revisions to the proposed model have been made. It was also noted that this consultation took place during the school summer break.

# 5.4 Your departmental response to the feedback on the current consultation (as at 5.3) – include any changes made to the proposal as a result of the feedback.

Council Executive will meet on 9 January 2018 to consider further options and in particular to discuss the preferred Option 3 explained in this paper. It is intended that following that meeting a further formal consultation period will commence between 17 January and 28 February 2018. When the further formal consultation closes a further report will be presented to the Council Executive in April 2018.

### Appendix 4 - Consultation Plan

SEND Transformation 0-25 – Stakeholder Consultation Plan			
	Purpose	Type / Method	When / Frequency
Parents and Carers across the District, including Parent and Carer Forums	To ensure wider reach during engagement / consultation / feedback	<ul><li>Focus Groups at Special</li><li>Schools</li><li>On-line survey</li><li>Engagement and</li></ul>	<ul> <li>Initial engagement 02/05/2017 to 06/06/2017.</li> <li>Initial formal consultation from 26/06/17.</li> </ul>
Communities of Interest	Engage in scoping and design where directly affected	Consultation Council website Local Offer website Social media (Twitter / Facebook) / Stay Connected / Bradford App Families Information Service Citizen's e-panel	<ul> <li>Parents Forum (dates TBC).</li> <li>Strategic Disability Group (TBC)</li> </ul>
Children and Young People (including those with SEND)	To ensure wider reach during engagement / consultation / feedback	<ul> <li>Youth Service</li> <li>Focus Groups</li> <li>On-line survey</li> <li>Social media (Twitter /</li> </ul>	<ul> <li>Engagement completed and analysis reviewed.</li> <li>Initial formal consultation began on 26/06/2017.</li> </ul>
	To gather current experience accessing services	Facebook) / Stay Connected / Bradford App - Colleges / University	Model revised and to be confirmed in April 2018 once analysis of further
	To engage and consult in scoping and design where directly / indirectly affected	<ul><li>Engagement and Consultation Council website</li><li>Local Offer website</li></ul>	consultation feedback has been undertaken.

Elected Members, Executive,	To support initiation and on-	- Presentations, member	- CMT
CMT, DMT Meetings	going implementation across	briefings and updates	- CMT/Pre-Exec
	services and teams	- Engagement and	- Council Executive
MP's		Consultation Council	- Keighley Area Committee -
Parish Councils	To keep informed of key	website	TBC
	information / changes and input	- Local Offer website	- Shipley Area Committee –
	into recommendations.	- Parish and Town Councils	TBC
			- East Area Committee –
	Endorse and agree proposals.		TBC
			- South Area Committee -
			TBC
			- West Area Committee -
			TBC
Key Partnership Groups	To support initiation and on-	- Presentations, briefings and	- SEND Strategic Partnership
- SEND & Behaviour	going implementation across	updates	<ul><li>– (date to be confirmed)</li></ul>
Strategic Board	services and teams	- Engagement and	- Overview & Scrutiny – (date
- Children's Trust Board		Consultation Council	to be confirmed)
- Safeguarding Board	To keep informed of key	website	- Accountable Care Board –
- Accountable Care	information / changes and input	- Local Offer website	(date to be confirmed)
Board	into recommendations.		- Safeguarding Board – (date
- Area Committee			to be confirmed)
Meetings	Endorse and agree proposals.		- Children's Trust Board -
- Overview & Scrutiny			(date to be confirmed)
- Early Help Board			-

Private, voluntary and independent sector  Diocese Boards of Education  -Church of England Catholic Diocese Muslim Association /Council for Mosque  Neighbouring Local Authorities	To support initiation and ongoing implementation across key services and teams  To keep informed of key information / changes and input into recommendations	- Briefings and updates	- Dates to be confirmed with Peter Horner
National Organisations - DfE - National Charities	To keep informed of key information / changes and input into recommendations	<ul> <li>On-line survey</li> <li>Social media (Twitter / Facebook) / Stay Connected / Bradford App</li> <li>Engagement and Consultation Council website</li> <li>Local Offer website</li> </ul>	
<ul> <li>Key teams and services:</li> <li>LA</li> <li>Police</li> <li>Health Visiting and School Nursing</li> <li>VCS</li> <li>Children's Centres</li> </ul>	To engage in scoping and design when directly affected.  To keep informed of key information / changes and input into recommendations  To deliver changes in practice on the ground	<ul> <li>Web-based and newsletter updates</li> <li>Updates through Management and staff meetings.</li> <li>Drop-in sessions and briefings with those teams directly affected.</li> <li>CMT Messages</li> <li>BradNet</li> </ul>	<ul> <li>Initial briefings to affected staff and colleagues on 02/05/2017 (informal engagement), 26/06/2017 (formal consultation).</li> <li>Drop-in sessions to be scheduled during consultation (dates to be confirmed)</li> </ul>

Trade Unions	To keep informed of key information / changes and input into recommendations  To consult under Managing Workforce Change as and when required	<ul> <li>Online survey</li> <li>Social media (Twitter / Facebook) / Stay         Connected / Bradford App</li> <li>Departmental Consultation         Leads</li> <li>Engagement and         Consultation Council         website</li> <li>Local Offer website</li> <li>Briefing through OJC Level         3 in the first instance</li> <li>Regular monthly meetings         with Unions to update on         developments throughout         programme.</li> <li>Consultation under         Workforce Changes as and         when required</li> <li>Engagement and         Consultation Council         website</li> <li>Local Offer website</li> </ul>	- OJC Level 3 on 22/06/2017 - Fortnightly meetings to be scheduled (dates to be confirmed)
All staff from Nurseries,	To keep informed of key information / changes and input into recommendations through an engagement and consultation period.  To engage and consult in scoping and design where	<ul> <li>Updates provided through</li></ul>	<ul> <li>Initial engagement</li></ul>
Primary and Secondary		the Head teacher briefings <li>Bradford Schools Online</li> <li>On-line survey</li> <li>Social media (Twitter /</li>	02/05/2017 – 06/06/2017 <li>Initial formal consultation</li>
Schools, Academies, MATs,		Facebook) / Stay Connected	began from 26/06/2017 <li>Head teacher, Governors &amp;</li>
Governors		/ Bradford App <li>Engagement and</li>	other key briefings

directly / indirectly affected	Consultation Council website
	- Local Offer website