

## Chief Executive - WYPF - Status of all Audit Recommendations in reports issued up to 31.3.17

Department/Service	Follow Up Period	Progress	Director Sign Off / Date
West Yorkshire Pension Fund	Reports Issued To 31.03.17	100%	

Report Title	Report Number	Recommendation	Due Date	Progress	Progress Notes
West Yorkshire Pension Fund Transfers In: Issued 15.09.2016	80018/3968 16-17		15-Sep-2016	100%	
Report Title	Report Number	Recommendation	Due Date	Progress	Progress Notes
Recommendation 1	R1	Staff should be reminded of the associated working instructions for Transfers In and the relevance/importance of using the correct dates. In the absence of a checklist process, the feasibility of carrying out sporadic quality control checking should also be considered.	15-Sep-2016	100%	The Member Services Manager issued an e-mail to staff on the 13/09/16 to alert them to the error identified and how the case should be processed in order to mitigate the risk of the error occurring in future.

Report Title	Report Number	Recommendation	Due Date	Progress	Progress Notes
Purchase of Additional Pension: Issued 23.03.2017	80049/4006 16-17	Responsible officer: Rodney Barton	30-Sep-2017	100%	
Report Title	Report Number	Recommendation	Due Date	Progress	Progress Notes
Recommendation 1	R1	This identified issue should be logged as a system issue with the provider in the first instance. In the meantime, the record identified should be adjusted to reflect the APCs which have been made. Additionally, a check should be put in place to ensure that the calculations accurately include the APCs made by the member, this check should be added to the checklist	30-Sep-2017	100%	Two actions were taken: 1/ Reviewed deferred benefits already calculated which include an APCs. Difference of over £10pa were investigated and remedial action taken was to recalculate benefit.